



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor : Dean Della Penna  
Application Number : 09/560,761  
Filing Date : 04/28/2000  
Title : Phytol/Prenyltransferase Nucleic Acids,  
Polypeptides and Uses Thereof  
  
Group/Art Unit : 1634  
Examiner : Einsmann, Juliet Caroline  
  
Attorney Docket Number : 1095R

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**FILING OF AN INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(c)**

The attached list of citations is being submitted under the provisions of 37 CFR §1.56 and §1.97 in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention.

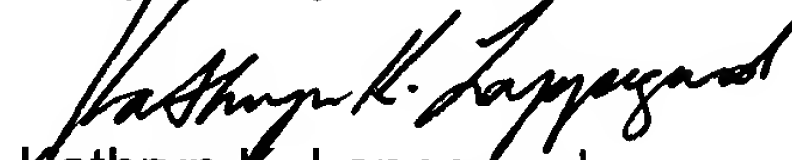
The references cited herein are listed on the enclosed PTO Form 1449. Copies of each are enclosed.

This statement is being filed under the provisions of 37 CF. §1.97(c), before the mailing date of a final office action under §1.113, before the mailing date of a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, along with the authorization to charge the \$180.00 fee specified in 37 CFR §1.17(p) to the Deposit Account 16-1852.

Serial No. 09/560,761  
Group Art Unit: 1634

Please charge any additional fees under 37 CFR §1.16 or §1.17 which may be required by this paper, or credit any overpayment to the indicated Deposit Account.

Respectfully submitted,

  
Kathryn K. Lappegard  
Agent for Applicant(s)  
Registration No. 46,857

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Corporate Intellectual Property  
7100 N.W. 62<sup>nd</sup> Avenue  
P.O. Box 1000  
Johnston, Iowa 50131-1000  
Phone: (515) 253-5707  
Facsimile: (515) 334-6883

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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**Complete if Known**

Application Number

09/560.761

Filing Date

04/28/2000

**First Named Inventor**

## Dean Della Penna

**Art Unit**

1634

Examiner Name

Einsmann, Juliet Caroline

Attorney Docket Number

1095R

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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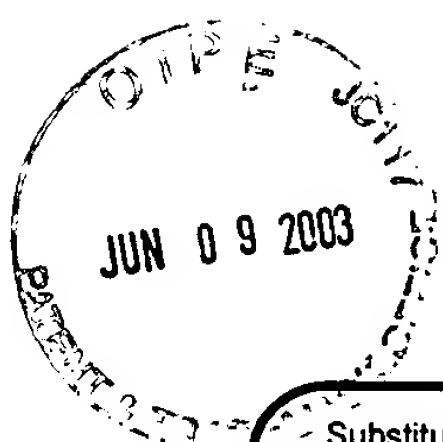
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/560,761
				Filing Date	04/28/2000
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				Group Art Unit	1634
				Examiner Name	Einsmann, Juliet Caroline
Sheet	2	of	4	Attorney Docket Number	1095R

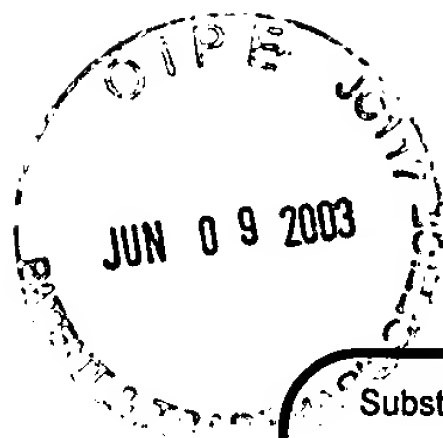
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A51	YAMAMOTO et al., 1997, GenBank Accession Number C25006, Rice cDNA from green shoot	
	A52	NAHM et al., 1998, GenBank Accession Number AA750728, Large-scale sequencing analysis of ESTs from rice immature seed	
	A53	NAHM et al., 1998, GenBank Accession Number AA749638, Large-scale sequencing analysis of ESTs from rice immature seed	
	A54	SASAKI et al., 1998, GenBank Accession Number AU029707, Rice cDNA from panicle	
	A55	WALBOT, V., 1999, GenBank Accession Number AI612332, Maize ESTs from various cDNA libraries sequenced at Stanford University	
	A56	WALBOT, V., 1999, GenBank Accession Number AI711952, Maize ESTs from various cDNA libraries sequenced at Stanford University	
	A57	WALBOT, V., 1999, GenBank Accession Number AI795680, Maize ESTs from various cDNA libraries sequenced at Stanford University	
	A58	ALCALA et al., 1999 GenBank Accession Number AI897027, Generation of ESTs from tomato carpel tissue	
	A59	SHOEMAKER et al., 1999, GenBank Accession Number AI938270, Public soybean EST project	
	A60	SHOEMAKER et al., 1999, GenBank Accession Number AI938569, Public soybean EST project	
	A61	WALBOT, V., 1999, GenBank Accession Number AI948381, Maize ESTs from various cDNA libraries sequenced at Stanford University	

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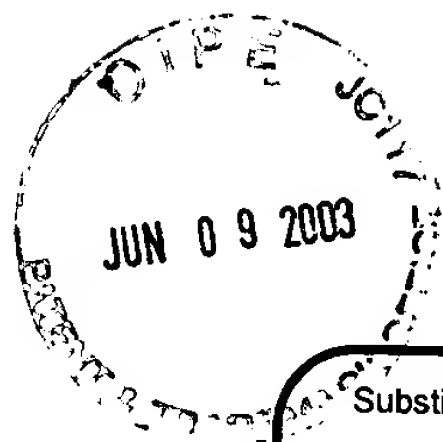
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A62	WALBOT, V., 1999, GenBank Accession Number AW052841, Maize ESTs from various cDNA libraries sequenced at Stanford University	
	A63	WALBOT, V., 1999, GenBank Accession Number AW054141, Maize ESTs from various cDNA libraries sequenced at Stanford University	
	A64	WALBOT, V., 2000, GenBank Accession Number AW066179, Maize ESTs from various cDNA libraries sequenced at Stanford University	
	A65	WALBOT, V., 1999, GenBank Accession Number AW146615, Maize ESTs from various cDNA libraries sequenced at Stanford University	
	A66	SHOEMAKER et al., 1999, GenBank Accession Number AW202246, Public soybean EST project	
	A67	SHOEMAKER et al., 1999, GenBank Accession Number AI444024, Public soybean EST project	
	A68	SHOEMAKER et al., 2000, GenBank Accession Number AI442111, Public soybean EST project	
	A69	SHOEMAKER et al., 1999, GenBank Accession Number AW132909, Public soybean EST project	
	A70	SHOEMAKER et al., 1999, GenBank Accession Number AI748688, Public soybean EST project	
	A71	SHOEMAKER et al., 1999, GenBank Accession Number AI939002, Public soybean EST project	
	A72	SHOEMAKER et al., 2000, GenBank Accession Number AW306617, Public soybean EST project	

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	A73	SHOEMAKER et al., 2000, GenBank Accession Number AW433064, Public soybean EST project	
	A74	SASKI et al., 1997, GenBank Accession Number C74444, Rice cDNA from panicle	
	A75	CORDONNIER-PRATT et al., 2000, GenBank Accession Number AW563431, An EST database from Sorghum	
	A76	LIN et al., 2000, GenBank Accession Number AC003673, Sequence and analysis of chromosome 2 of the plant Arabidopsis thaliana	
	A77	CHARLEBOIS et al., 1999, GenBank Accession Number Y18930, Gene content and organization of a 281-kbp contig from the genome of the extremely thermophilic archaeon, Sulfolobus solfataricus P2	
	A78	KANEKO et al., 1999, GenBank Accession Number D90909, Sequence analysis of the genome of the unicellular cyanobacterium Synechocystis sp. strain PCC6803. II Sequence determination of the entire genome and assignment of potential protein-coding regions	
	A79	KAWARABAYASI et al., 2000, GenBank Accession Number AP000058, Complete genome sequence of an aerobic hyper-thermophilic crenarchaeon, Aeropyrum pernix K1	
	A80	ROUNSLEY et al., 1998, Database EBI Online AC 064625	
	A81	WALBOT, V., 2000, Database EBI Online AC AW453137	
	A82	SHOEMAKER et al., 1999, Database EBI Online AC AW201260	

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